

Patent

Docket Number: PD-00100.P.1.1.1.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	
)	
DOSHI, Praful)	Examiner: To be determined
)	
Application No.: 10/679,645)	Art Unit: To be determined
)	
Filed: October 6, 2003)	
)	
For: TINTED LENSES AND)	
METHODS OF MANUFACTURE)	
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Commissioner for Patents
PO Box 1450
Alexandria, VA 22313

Sir:


INFORMATION DISCLOSURE STATEMENT

Applicant respectfully submits this information disclosure statement for the above referenced U.S. Patent Application. This statement is filed before the First Office Action on the merits. Thus, no fee is deemed necessary.

Applicant respectfully includes a listing of references on the attached Form PTO 1449, labeled 2a through 2d. Applicant has supplied all references cited on parts 2a through 2c in Parent U.S. Patent Application No. 09/949,520 and all references cited on part 2d with Parent U.S. Application No. 09/969,933, issued as U.S. Patent No. 6,315,410.

Please apply any charges not covered, or any credits, to **Deposit Account 501321** in the name of David R. Preston & Associates having **Customer Number 24232**.

Date:

 Dec 11, 2003

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Respectfully submitted,



David R. Preston
Reg. No. 38,710

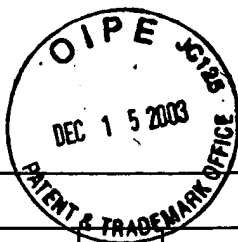


INFORMATION DISCLOSURE STATEMENT BY APPLICANT No. 1a (Use several sheets if necessary)	Docket Number: PD-00100.P.1.1.1.1	Application Number: 10/679,645
	Applicant: Praful Doshi	
	Filing Date: Oct 6, 2003	Group Art Unit: To be determined

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	P1	3,476,499	Nov 4, 1969	Wichterle et al			
	P2	4,851,931	Jul 25, 1989	Parker et al			
	P3	5,335,072	Aug 2, 1994	Tanaka et al			
	P4	5,835,554	Nov 10, 1998	Suzuki et al			

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							YES	NO
	F1	01/40846 A2		WO				
	F2	01/40846 A3		WO				
	F3	91/06886		WO				
	F4	97/37489		WO				
	F5	97/48004		WO				
	F6	97/48005		WO				
	F7	98/25180		WO				
	F8	98/28652		WO				

Examiner Signature		Date Considered	
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FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							YES	NO
	F9	1 583 492		GB				
	F10	0 367 471		EP				
	F11	0 484 044		EP				
	F12	0 484 045		EP				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
EXAMINER INITIALS		CITATION
	D1	PCT International Search Report for PCT/US00/4254 Published as WO 01/40846
	D2	PCT International Preliminary Examination Report for PCT/US00/4254 Published as WO 01/40846
	D3	New Zealand Examination Report for Application No. 518516 corresponding to the New Zealand national phase from PCT/US00/4254
	D4	EPO Supplementary Partial European Search Report dated Dec 6, 2002 for Application No. EP 00 99 2769 corresponding to the EP national phase from PCT/US00/4254
	D5	EPO Supplementary Partial European Search Report dated Mar 31, 2003 for Application No. EP 00 99 2769 corresponding to the EP national phase from PCT/US00/4254

Examiner Signature		Date Considered	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT No. 1b (Use several sheets if necessary)	Docket Number: PD-00100.P.1.1.1.1	Application Number: 10/679,645
	Applicant: Praful Doshi	
	Filing Date: Oct 6, 2003	Group Art Unit: To be determined

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	P1	6,488,375	Dec 3, 2002	Streibig			
	P2	6,488,376	Dec 3, 2002	Streibig			
	P3	2002/0140900		Streibig			
	P4	2002/057416		Streibig			
	P5	2003/0002012		Streibig			
	P6	2003/0007122		Streibig			
	P7						

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							YES	NO
	F1	01/35158		WO				
	F2	02/079860		WO				
	F3							

Examiner Signature		Date Considered	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
EXAMINER INITIALS		CITATION
	D1	File history for U.S. Application No. 09/428,817 issued as U.S. 6,488,375
	D2	File history for U.S. Application No. 09/678,195 issued as U.S. 6,488,376
	D3	File history for U.S. Application No. 09/821,620 published as US 2002/0140900
	D4	

Examiner Signature		Date Considered	
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**INFORMATION DISCLOSURE
STATEMENT
BY APPLICANT**

No. 1c
(Use several sheets if necessary)

Docket Number:
PD-00100.P.1.1.1.1

Application Number:
10/679,645

Applicant: Praful Doshi

Filing Date:
Oct 6, 2003

Group Art Unit:
To be determined

U.S. PATENT DOCUMENTS

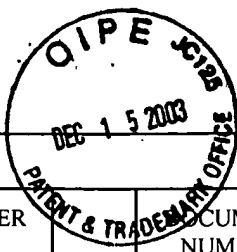
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	P1	Des 211,757	Jul 23, 1968	Urbach			
	P2	3,679,504	Jul 25, 1972	Wichterle			
	P3	4,130,708	Dec 19, 1978	Friedlander et al			
	P4	4,136,250	Jan 23, 1979	Mueller et al			
	P5	4,153, 641	May 8, 1979	Deichert et al			
	P6	4,189,546	Feb 19, 1980	Deichart et al			
	P7	4,252,421	Feb 24, 1981	Foley, Jr			
	P8	4,303,924	Dec 1, 1981	Young, Jr.			
	P9	4,424,328	Jan 3, 1984	Ellis			
	P10	4,433,125	Feb 21, 1984	Ichinohe et al			
	P11	4,447,474	May 8, 1994	Neeffe			
	P12	4,460,523	Jul 17, 1984	Neeffe			
	P13	4,463,149	Jul 31, 1984	Ellis			
	P14	4,525,044	Jun 25, 1985	Bauman			
	P15	4,559,059	Dec 17, 1985	Su			
	P16	4,605,712	Aug 12, 1986	Mueller et al			
	P17	4,640,805	Feb 3, 1987	Neeffe			
	P18	4,652,622	Mar 24, 1987	Friends et al			

Examiner Signature		Date Considered	
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	P19	4,668,240	May 26, 1987	Loshaek			
	P20	4,681,412	Jun 21, 1987	Lamelson			
	P21	4,686,267	Aug 11, 1987	Ellis et al			
	P22	4,701,038	Oct 20, 1987	Neefe			
	P23	4,709,657	Dec 1, 1987	Gothard			
	P24	4,710,328	Dec 1, 1987	Neefe			
	P25	4,711,943	Dec 8, 1987	Harvey, III			
	P26	4,719,657	Jan 19, 1988	Bawa			
	P27	4,720,188	Jan 19, 1988	Knapp			
	P28	4,725,133	Feb 16, 1988	Neefe			
	P29	4,740,533	Apr 26, 1988	Su et al			
	P30	4,744,647	May 17, 1988	Meshel et al			
	P31	4,745,857	May 24, 1988	Putnam et al			
	P32	4,793,264	Dec 27, 1988	Lin et al			
	P33	4,810,764	Mar 7, 1989	Friends et al			
	P34	4,811,662	Mar 14, 1989	Sterman			
	P35	4,840,477	Jun 20, 1989	Neefe			
	P36	4,857,072	Aug 15, 1989	Narducy et al			
	P37	4,851,931	Jul 25, 1989	Parker et al			
	P38	4,867,552	Sep 19, 1989	Neefe			
	P39	4,872,405	Oct 10, 1989	Sterman			
	P40	4,889,421	Dec 26, 1989	Cohen			
	P41	4,921,205	May 1, 1990	Drew, Jr et al			

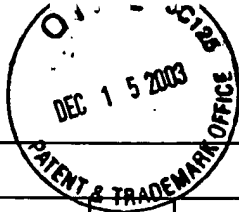
Examiner Signature		Date Considered	
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PATENT & TRADEMARK OFFICE

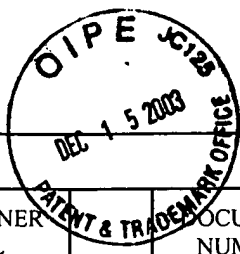
U.S. PATENT DOCUMENTS							
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	P42	4,946,269	Aug 7, 1990	Magdassi			
	P43	4,963,159	Oct 16, 1990	Narducy et al			
	P44	4,981,487	Jan 1, 1991	da Costa			
	P45	5,062,892	Nov 5, 1991	Halko			
	P46	5,070,169	Dec 3, 1991	Robertson et al			
	P47	5,070,170	Dec 3, 1991	Robertson et al			
	P48	5,120,121	Jun 9, 1992	Rawlings et al			
	P49	5,126,531	Jun 30, 1992	Majima et al			
	P50	5,158,717	Oct 27, 1992	Lai			
	P51	5,158,718	Oct 27, 1992	Thkrar et al			
	P52	5,196,493	Mar 23, 1993	Gruber et al			
	P53	5,205,212	Apr 27, 1993	Wolfe			
	P54	5,238,613	Aug 24, 1993	Anderson			
	P55	5,244,470	Sept 14, 1993	Onda et al			
	P56	5,244,799	Sept 14, 1993	Anderson			
	P57	5,260,000	Nov 9, 1993	Nadu et al			
	P58	5,266,077	Nov 30, 1993	Auten et al			
	P59	5,271,765	Dec 21, 1993	Ma			
	P60	5,307,704	May 3, 1994	Muller et al			
	P61	5,307,740	May 3, 1994	Yamamoto et al			
	P62	5,310,779	May 10, 1994	Lai			

Examiner Signature		Date Considered	
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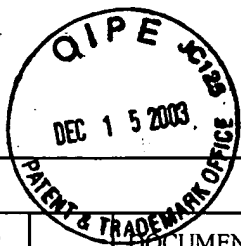
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	P63	5,334,681	Aug 2, 1994	Mueller et al			
	P64	5,346,946	Sept 13, 1994	Yokayama et al			
	P65	5,387,663	Feb 7, 1995	McGee et al			
	P66	5,389,132	Feb 14, 1995	Davulcu			
	P67	5,467,149	Nov 14, 1995	Morrison et al			
	P68	5,472,789	Dec 5, 1995	Iqbal et al			
	P69	5,580,498	Dec 3, 1996	Sugiyama et al			
	P70	5,658,376	Aug 19, 1997	Noguchi et al			
	P71	5,704,017	Dec 30, 1997	Heckerman et al			
	P72	5,713,963	Feb 3, 1998	Bensky			
	P73	5,793,898	Mar 24, 1998	Orzi			
	P74	5,733,333	Mar 31, 1998	Sankey			
	P75	5,757,458	May 26, 1998	Miller et al			
	P76	5,764,266	Jun 9, 1998	Azuma et al			
	P77	5,786,883	Jul 28, 1998	Miller et al			
	P78	5,819,661	Oct 13, 1998	Lewis et al			
	P79	5,867,247	Feb 2, 1999	Martin et al			
	P80	5,871,675	Feb 16, 1999	Muller et al			
	P81	5,887,247	Mar 23, 1999	Baltus et al			
	P82	5,936,704	Aug 10, 1999	Gabrielian et al			

Examiner Signature		Date Considered	
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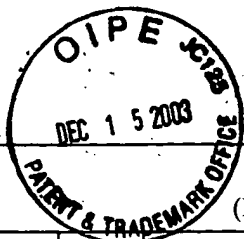
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	P83	5,936,705	Aug 10, 1999	Ocampo et al			
	P84	6,030,078	Feb 29, 2000	Ocampo			
	P85	6,070,527	Jun 6, 2000	Yamane et al			
	P86	6,123,021	Sept 26, 2000	Cameron			
	P87	6,132,043	Oct 17, 2000	Atkins et al			
	P88	6,135,654	Oct 24, 2000	Jennel			
	P89	6,139,577	Oct 31, 2000	Scheipman et al			
	P90	6,143,026	Nov 7, 2000	Meakem			
	P91	6,196,683	Mar 6, 2001	Quinn et al			
	P92	6,159,296	Dec 12, 2000	Aoyama et al			
	P93	6,197,409	Mar 6, 2001	Bodagger et al			
	P94	6,276,266	Aug 21, 2001	Dietz et al			
	P95	2001/0050753		Tucker			
	P96	2002/0039172		Ocampo et al			
	P97	2002/0030788		Doshi			
	P98	2002/0080327		Clark et al			
	P99	2002/0107337		Rosenzweig et al			
	P100						

Examiner Signature		Date Considered	
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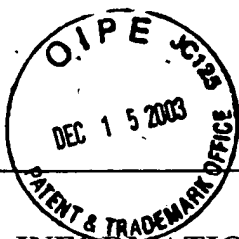
FOREIGN PATENT DOCUMENTS								
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	F1	83/038480		WO				
	F2	92/07013		WO				
	F3	93/05085		WO				
	F4	93/09154		WO				
	F5	01/42846		WO				
	F6	01/96908		WO				
	F7	02/074186		WO				
	F8	0 108 886		EP				
	F9	0 114 894		EP				
	F10	0 272 101		EP				
	F11	0 277 771		EP				
	F12	0 295 947		EP				
	F13	0 330 616		EP				
	F14	0 369 942		EP				
	F15	0 390 443		EP				
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	F17	0 425 436		EP				
	F18	0 461 270		EP				
	F19	0 472 496		EP				
	F20	0 584 764		EP				
	F21	710230		CA				
	F22	8112566		JP				
	F23	10171994		JP				
	F24	6,228,812		JP				

Examiner Signature		Date Considered	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
EXAMINER INITIALS		CITATION
	D1	March J., <u>Advanced Organic Chemistry Reactions, Mechanisms, and Structure</u> 2 nd Edition, McGraw-Hill Book Co., 1977, 363-365
	D2	Bindagraphics Incorporated web page, http://www.bindagraphics.com/pubs/pun20.html ,
	D3	IBM Printing Systems web page, http://www.printers.ibm.com/R5PSC.NSF/web/ijpaper
	D4	HP Specialty Printing Systems web page, http://www.hp.com/oeminkjet/learn/history/decade.htm , pg 3
	D5	Voigt B., Stability Issues and Test Methods for Ink Jet Materials, May 2001
	D6	Jurgens M.C., Preservation of Ink Jet Hardcopies, Aug 27, 1999
	D7	DuPont Tyzor Organic Titanates General Brochure, http://www.dupont.com/tyzor
	D8	The Science of VERTEC Adhesion Promoters web page, http://www.synetix.com/inks/scienceofvertec.htm
	D9	Synetix Ink Product Portfolio web page, http://www.synetix.com/inks/productportfolio.htm
	D10	Synetix Inks Frequently Asked Questions web page, http://www.synetix.com/inks/faq.htm
	D11	PFAZ-322 IONAC Polyfunctional Aziridine brochure, Sybron Chemicals Inc.
	D12	Material Safety Data Sheet for VERTEC (TM) IA10
	D13	UCARLINK XL-29SE Crosslinker brochure by DOW Chemical Co.
	D14	Stell M., Update On Color Additive Technology, OCCO 2001 Symposium, Toronto, ON Canada, March 17, 2001
	D15	J. Kunzler et al, "Hydrogels Based on Hydrophillic Side-Chain Siloxanes", Journal of Applied Science, 55:611-619 (1995)
	D16	Lai, Yu-Chin, "Role of bulky Polysiloxanylalkyl Methacrylates in Oxygen Permeable Hydrogel Materials", Journal of Applied Science, 56:317-324 (1995)
	D17	Lai, Yu-Chin, "Novel Polyurethane-Silicone Hydrogels", Journal of Applied Science, 56:301-310 (1995)

Examiner Signature		Date Considered	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT No. 1d (Use several sheets if necessary)	Docket Number: PD-00100.P.1.1.1.1	Application Number: 10/679,645
	Applicant: Praful Doshi	
	Filing Date: Oct 6, 2003	Group Art Unit: To be determined

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	P1	3,536,386	Oct 1970	Spivak			
	P2	3,557,261	Jan 1971	Wichterle			
	P3	3,679,504	Jul 1972	Wichterle			
	P4	3,712,718	Jan 1973	LeGrand et al			
	P5	4,472,327	Sept 1984	Neeffe			
	P6	4,558,931	Dec 1985	Fuhrman			
	P7	4,582,402	Apr 1986	Knapp			
	P8	4,704,017	Nov 1987	Knapp			
	P9	4,898,695	Feb 1990	Doshi			
	P10	4,914,522	Apr 1990	Duffield et al			
	P11	5,018,849	May 1991	Su et al			
	P12	5,034,166	Jul 1991	Rawlings et al			
	P13	5,106,182	Apr 1992	Briggs et al			
	P14	5,116,112	May 1992	Rawlings			
	P15	5,160,463	Nov 1992	Evans et al			
	P16	5,176,745	Jan 1993	Moore et al			
	P17	5,271,874	Dec 1993	Osipo et al			
	P18	5,272,010	Dec 1993	Quinn			
	P19	5,302,978	Apr 1994	Evans et al			

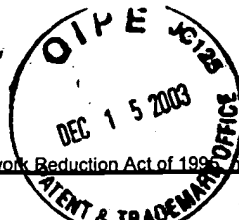
Examiner Signature		Date Considered	
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U.S. PATENT DOCUMENTS							
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	P20	5,352,245	Oct 1994	Su et al			
	P21	5,372,852	Dec 1994	Titterington et al			
	P22	5,376,957	Dec 1994	Gandy et al			
	P23	5,414,477	May 1995	Jahnke			
	P24	5,452,658	Sept 1995	Shell			
	P25	5,621,022	Apr 1997	Jaeger et al			
	P26	5,637,265	Jun 1997	Misciagno et al			
	P27	5,662,706	Sept 1997	Legerton et al			
	P28	5,905,561	May 1999	Lee et al			
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FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							YES	NO
	F1	0 357 062 A2	Aug 1989	EP				
	F2	0 393 532 A2	Apr 1990	EP				
	F3							

Examiner Signature		Date Considered	
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PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/679,645
Filing Date	October 6, 2003
First Named Inventor	DOSHI, Praful
Art Unit	To be determined
Examiner Name	To be determined
Attorney Docket Number	PD-00100.P.1.1.1.1

Total Number of Pages in This Submission

13

ENCLOSURES (Check all that apply)

- | | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Technology Center (TC) |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

Remarks

1. Form 1449; and
2. Postcard

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	David R. Preston Reg. No. 38,710
Signature	
Date	Dec 11, 2003

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Raymond Wagenknecht
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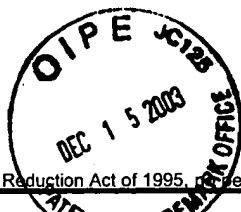
Signature

Date

12/11/2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 0.00

Complete if Known

Application Number	10/679,645
Filing Date	Oct 6, 2003
First Named Inventor	DOSHI, Praful
Examiner Name	To be determined
Art Unit	To be determined
Attorney Docket No.	PD-00100.P.1.1.1.1

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
Account
Number
Deposit
Account
Name

501321

David R. Preston

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	=
Independent Claims	-3** =	X	=
Multiple Dependent			

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type)

David R Preston

Registration No.
(Attorney/Agent)

38,710

(Complete if applicable)

Telephone 858-724-0375

Signature

Date

Dec 11, 2003

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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